



BEST AVAILABLE COPY

MB No. 0651-0011

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

Atty. Docket No.: 06502.0289-00000

Application No.: 09/457,914

Applicant: Germano CARONNI et al.

Filing Date: December 10, 1999

Group: 2135

U.S. PATENT DOCUMENTS

| Examiner Initial* | Document Number | Date | Name | Class | Sub Class | Filing Date If Appropriate |
|-------------------|-----------------|-----------|----------------|-------|-----------|----------------------------|
| LJ | 4,825,354 | 4/25/1989 | Agrawal et al. | — | — | |
| LF | 6,453,419 B1 | 9/17/2002 | Flint et al. | — | — | |

FOREIGN PATENT DOCUMENTS

| Examiner Initial* | Document Number | Date | Country | Class | Sub Class | Translation Yes or No |
|-------------------|-----------------|------|---------|-------|-----------|-----------------------|
| | | | | | | |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

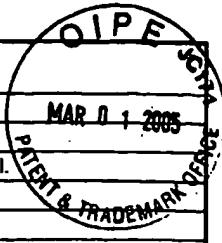
| | | | |
|----------|-----------------|------------------|----------------|
| Examiner | <i>Leyna Ha</i> | Date Considered: | <i>4/13/05</i> |
|----------|-----------------|------------------|----------------|

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO 1449

Patent and Trademark Office - U.S. Department of Commerce

| | | | | | |
|--|---|----|---|-------------------------------|-----------------------|
| IDS Form PTO/SB/08: Substitute for form 1449A/PTO | | | | Complete if Known | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i> | | | | Application Number | 09/457,914 |
| | | | | Filing Date | December 10, 1999 |
| | | | | First Named Inventor | German CARONNI et al. |
| | | | | Art Unit | 2135 |
| | | | | Examiner Name | Leynna A. HA |
| Sheet | 1 | of | 1 | Attorney Docket Number | 06502.0289 |



U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS

Note: Copies of the U.S. Patent Documents are not Required in IDS filed after October 21, 2004

FOREIGN PATENT DOCUMENTS

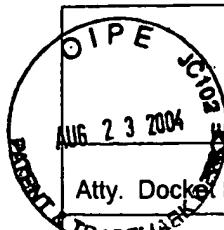
| Examiner Initials | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | Translation ⁶ |
|-------------------|-----------------------|---|--------------------------------|--|--|--------------------------|
| | | Country Code ³ Number ⁴ Kind Code ⁵ (if known) | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

NON-PATENT LITERATURE DOCUMENTS

| Examiner Initials | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | Translation ⁶ |
|-------------------|-----------------------|---|--------------------------|
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |

| | | | |
|-----------------------|------------------|--------------------|---------|
| Examiner Signature | <u>Legguthen</u> | Date Considered | 4/12/05 |
|-----------------------|------------------|--------------------|---------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.


INFORMATION DISCLOSURE CITATION
 (Use several sheets if necessary)

Atty. Docket No.: 06502.0289-00000

Application No.: 09/457,914

Applicant: Germano CARONNI et al.

Filing Date: December 10, 1999

Group: 2135

U.S. PATENT DOCUMENTS

| Examiner Initial* | Document Number | Date | Name | Class | Sub Class | Filing Date If Appropriate |
|-------------------|-----------------|-----------|----------------|-------|-----------|----------------------------|
| LB | 4,825,354 | 4/25/1989 | Agrawal et al. | — | — | |
| UK | 6,453,419 B1 | 9/17/2002 | Flint et al. | — | — | |

FOREIGN PATENT DOCUMENTS

| Examiner Initial* | Document Number | Date | Country | Class | Sub Class | Translation Yes or No |
|-------------------|-----------------|------|---------|-------|-----------|-----------------------|
| | | | | | | |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | | |
|----------|-----------|------------------|---------|
| Examiner | Leynna ha | Date Considered: | 4/12/05 |
|----------|-----------|------------------|---------|

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO 1449

Patent and Trademark Office - U.S. Department of Commerce


**INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)**

| | |
|-----------------------------------|-----------------------------|
| Atty. Docket No.: 6502.0289-00000 | Application No.: 09/457,914 |
| Applicant: Germano CARONNI et al. | |
| Filing Date: December 10, 1999 | Group 2135 |

U.S. PATENT DOCUMENTS

| Examiner Initial* | Document Number | Date | Name | Class | Sub Class | Filing Date If Appropriate |
|-------------------|-----------------|-----------|---------------|-------|-----------|----------------------------|
| <i>JH</i> | 5,719,942 | 2/17/1998 | Aldred et al. | _____ | _____ | |
| <i>CL</i> | 6,212,633 B1 | 4/3/2001 | Levy et al. | _____ | _____ | |
| <i>LS</i> | 6,532,543 B1 | 3/11/2003 | Smith et al. | _____ | _____ | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

FOREIGN PATENT DOCUMENTS

| Examiner Initial* | Document Number | Date | Country | Class | Sub Class | Translation Yes or No |
|-------------------|-----------------|------|---------|-------|-----------|-----------------------|
| | | | | | | |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

| | |
|--|--|
| | |
|--|--|

| | | | |
|----------|------------------|------------------|----------------|
| Examiner | <i>heynna Ha</i> | Date Considered: | <i>4/12/05</i> |
|----------|------------------|------------------|----------------|

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| | |
|---------------|---|
| Form PTO 1449 | Patent and Trademark Office - U.S. Department of Commerce |
|---------------|---|



OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

| | |
|-----------------------------------|-----------------------------|
| Atty. Docket No.: 6502.0289-00000 | Application No.: 09/475,914 |
| Applicant: Germano CARONNI et al. | |
| Filing Date: December 10, 1999 | Group 2135 |

U.S. PATENT DOCUMENTS

| Examiner Initial* | Document Number | Date | Name | Class | Sub Class | Filing Date If Appropriate |
|-------------------|-----------------|------------|-----------------|-------|-----------|----------------------------|
| LK | 5,144,665 | 9/1/1992 | Takaragi et al. | | | |
| | 5,241,599 | 8/31/1993 | Bellovin et al. | | | |
| | 5,748,736 | 5/5/1998 | Mittra | | | |
| | 6,055,575 | 4/25/2000 | Paulsen et al. | | | RECEIVED |
| | 6,173,399 B1 | 1/9/2001 | Gilbrech | | | JUN 07 2004 |
| LK | 6,304,973 B1 | 10/16/2001 | Williams | | | Technology Center 2100 |
| | 6,484,257 B1 | 11/19/2002 | Ellis | | | |

FOREIGN PATENT DOCUMENTS

| Examiner Initial* | Document Number | Date | Country | Class | Sub Class | Translation Yes or N |
|-------------------|-----------------|------|---------|-------|-----------|----------------------|
| | | | | | | |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

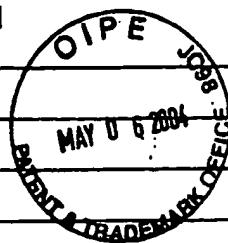
| | |
|--|--|
| | |
|--|--|

| | | | |
|----------|----------------|------------------|----------------|
| Examiner | <i>heymann</i> | Date Considered: | <i>4/12/05</i> |
|----------|----------------|------------------|----------------|

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| | |
|---------------|---|
| Form PTO 1449 | Patent and Trademark Office - U.S. Department of Commerce |
|---------------|---|

INFORMATION DISCLOSURE CITATION



| | | | |
|------------------|------------------------|-----------|------------|
| Atty. Docket No. | 06502.0289-00000 | Appn. No. | 09/457,914 |
| Applicant | Germano CARONNI et al. | | |
| Filing Date | December 10, 1999 | Group: | 2131 |

| U.S. PATENT DOCUMENTS | | | | | | |
|-----------------------|-----------------|------------|-------------------|-------|-----------|----------------------------|
| Examiner Initial* | Document Number | Issue Date | Name | Class | Sub Class | Filing Date If Appropriate |
| LK | 6,079,020 | 06/20/2000 | Liu | | | |
| | 6,600,733 B2 | 07/29/2003 | Deng | | | |
| | 6,606,708 B1 | 08/12/2003 | Devine et al. | | | |
| | 6,631,416 B2 | 10/07/2003 | Bendinelli et al. | | | |
| VK | 6,377,997 B1 | 4/23/2002 | Hayden | | | MAY 10 2004 |
| | | | | | | Technology Center 2100 |
| | | | | | | |
| | | | | | | |

| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|-----------------|------------------|---------|-------|-----------|-----------------------|
| | Document Number | Publication Date | Country | Class | Sub Class | Translation Yes or No |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | |
|--|--|
| | |
| | |
| | |
| | |
| | |

| | | | |
|---------------|--|-----------------|----------------|
| Examiner | <i>Leonna Ha</i> | Date Considered | <i>4/12/05</i> |
| *Examiner: | Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | |
| Form PTO 1449 | Patent and Trademark Office - U.S. Department of Commerce | | |

INFORMATION DISCLOSURE CITATION

| | | | |
|------------------|------------------------|------------|------------|
| Atty. Docket No. | 06502.0289-00000 | Serial No. | 09/457,914 |
| Applicant | Germano CARONNI et al. | | |
| Filing Date | December 10, 1999 | Group: | 2739 |

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

| | | Document Number | Publication Date | Country | Class | Sub Class | Translation Yes or No |
|-----|--|-----------------|------------------|---------|-------|-----------|-----------------------|
| L15 | | EP 0 887 981 A | 30 Dec. 1998 | Europe | H04L | 29/06 | |
| L18 | | WO 89/08887 | 21 Sept. 1989 | PCT | G06F | 13/14 | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

| | |
|----|---|
| LJ | International Search Report re: PCT/US00/42630 mailed November 9, 2001 |
| LJ | Freier et al., "The SSL Protocol Version 3.0", Internet Draft, 18 Nov. 1996, pp. 1-72 |
| | |
| | |

| | | | |
|------------|--|-----------------|----------------|
| Examiner | <u>Leyonna Ha</u> | Date Considered | <u>4/12/05</u> |
| *Examiner: | Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | |



OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION

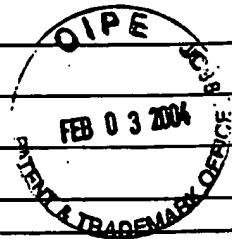
| | |
|--|------------------------|
| Atty. Docket No. TRADEMARK 2.0289-00000 | Appn. No. 09/457,914 |
| Applicant | Germano CARONNI et al. |
| Filing Date | December 10, 1999 |

U.S. PATENT DOCUMENTS

| | | | |
|------------|--|-----------------|----------------|
| Examiner | <i>Leyonna Ha</i> | Date Considered | <i>4/12/05</i> |
| *Examiner: | Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | |

INFORMATION DISCLOSURE CITATION

| | | | |
|------------------|-------------------|------------|---|
| Atty. Docket No. | 06502.0289-00000 | Appln. No. | 09/457,914 |
| Applicant | Caronni et al. | | FEB 03 2004 U.S. PATENT AND TRADEMARK OFFICE |
| Filing Date | December 10, 1999 | Group: | 2131 |



U.S. PATENT DOCUMENTS

~~RECEIVED~~

FEB 04 2004

Technology Center 2100

FOREIGN PATENT DOCUMENTS

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

| | |
|--|--|
| | |
| | |
| | |
| | |

| | |
|--|-----------------------------------|
| Examiner <i>Leysica Ha</i> | Date Considered <i>4/12/05</i> |
| <p>*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p> | |

INFORMATION DISCLOSURE CITATION



#13

| | | | |
|------------------|------------------------|------------|------------|
| Atty. Docket No. | 06502.0289-00000 | Appln. No. | 09/457,914 |
| Applicant | Germano CARONNI et al. | | |
| Filing Date | December 10, 1999 | | |
| Group: | 2131 | | |

JAN 08 2004
PATENT AND TRADEMARK OFFICE

U.S. PATENT DOCUMENTS

RECEIVED

JAN 09 2004

Technology Center 2100

| | | | |
|------------|--|-----------------|----------------|
| Examiner | <i>Leyonna Hu</i> | Date Considered | <i>4/12/05</i> |
| *Examiner: | Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | |